

# DSCSA Provider Evaluation Checklist

TracePharma — questions to ask any DSCSA traceability provider before you sign. Generated 2026-06-24.

## Receiving & EPCIS

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- Which inbound channels are supported: upload, SFTP, AS2, webhooks?
- How are missing 3T documents or serial mismatches surfaced to receivers?
- Can you generate outbound EPCIS and SSCC labels from the same system?
- Is product trace available for a single serial across inbound and outbound events?

## Outbound & shipping

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- Can you build outbound EPCIS with TI, TH, and TS from the same L4 workspace?
- Do you monitor customer or principal ACK health on outbound messages?
- Can WMS or ERP ship-confirm events trigger outbound EPCIS generation?
- Are SSCC labels generated with pool low-water alerts before serial exhaustion?

## L3 ↔ L4 serialization

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- Can you allocate SGTIN serial ranges to plant-floor line systems without replacing L3 software?
- How are commissioning events reconciled against allocated ranges?
- Is gap detection visible on an operations scorecard before auditors ask?
- Which handoff methods are supported: file export, REST API, or both?

## 3PL & principal operations

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- Can inventory and outbound be scoped per principal brand owner?
- Is cross-dock transfer between facilities auditable with scan verification?
- Can lot-level and serialized lines ship on the same outbound order?
- Are principal filters available on operations scorecards and dashboards?

## Verification & dispensing

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- Do you log every VRS request with GTIN, serial, lot, expiry, outcome, and timestamp?
- Can operators verify at a workstation and via API for automation?
- Is dispense blocked or flagged when verification fails or product is quarantined?
- Can you notify manufacturers on verification failure without a separate ticket?

## Exceptions & accountability

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- Are exceptions assigned, resolved, and retained with reason codes?
- Can correction requests be sent to suppliers and tracked in-app?
- Is there playbook guidance for common failure scenarios?

- Do you score trading partner risk from verification or file failure rates?

## Reporting & inspections

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- Can you prefill FDA Form 3911 from a verification exception?
- Do verification summary reports cover arbitrary date ranges?
- Can compliance packages bundle verification and exception evidence for export?
- How long is audit data retained, and who owns the export?

## Partners & integrations

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- Are trading partner licenses validated on a schedule?
- Can you configure HTTPS webhooks for verification and exception events?
- Is there a documented REST API with tenant-scoped credentials?
- What is the process when a partner changes EPCIS format or GLN?

## Operations & onboarding

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- Is each site an isolated tenant with its own audit trail?
- Is there an onboarding checklist with named owners and dates?
- Are drug shortage notices available for dashboard review?
- What support SLA applies when inbound files fail processing?

## Notes / provider responses